

**STATE OF ILLINOIS**  
**ILLINOIS COMMERCE COMMISSION**  
**APPLICATION**  
**Attachment 1**

**Secretary of State of the State of Illinois Certificate of Authority**

**State of Illinois**  
**Office of**  
**The Secretary of State**

**Whereas,** APPLICATION FOR CERTIFICATE OF AUTHORITY TO TRANSACT  
BUSINESS IN THIS STATE OF  
TXU ENERGY TRADING COMPANY  
INCORPORATED UNDER THE LAWS OF THE STATE OF TEXAS HAS BEEN FILED IN  
THE OFFICE OF THE SECRETARY OF STATE AS PROVIDED BY THE BUSINESS  
CORPORATION ACT OF ILLINOIS, IN FORCE JULY 1, A.D. 1904.

Now Therefore, I, Jesse White, Secretary of State of the State of Illinois, by virtue of the powers vested in me by law, do hereby issue this certificate and attach hereto a copy of the Application of the aforesaid corporation.

In Testimony Whereof, I hereto set my hand and cause to be  
affixed the Great Seal of the State of Illinois,  
at the City of Springfield, this 1ST  
day of OCTOBER A.D. 1999 and of  
the Independence of the United States the two  
hundred and 24TH



*Jesse White*

Secretary of State

**STATE OF ILLINOIS**  
**ILLINOIS COMMERCE COMMISSION**

**APPLICATION**

**Attachment 2**

**Notification Letters**

- 1. Alliant Energy Corporation**
- 2. AMEREN/CIPS**
- 3. Central Illinois Light Company**
- 4. Commonwealth Edison**
- 5. Illinois Power Company**
- 6. MidAmerican Energy Company**



TXU Energy Services  
3331 Castle Drive  
Janesville, WI 53546  
Tel: 608755 1650  
Fax: 608 755 1651

December 27, 1999

Alliant Energy Corporation  
ATTN: Gene Reuter  
P.O. Box 351  
Cedar Rapids, IA 52406-0351

To Whom It May Concern:

As per the Public Utilities Act, Section 16-115 and the Illinois Commerce Commission rules in 8.3 Ill. Admin. Code Pan 451, the rules for becoming an Alternative Retail Electric Supplier (ARES'): TXU Energy Services, 1301 Fannin Street Houston, TX 77002, is hereby in notifying you of its intent to deliver power and energy to customers within your service area. We will make an official application as per Alliant Energy Corporation rules once Certification has been granted.

Sincerely yours,

A handwritten signature in dark ink, appearing to read "Jim Poad". The signature is written in a cursive, flowing style.

Jim Poad  
Area Marketing Director  
TXU Energy Services



TXU Energy Services  
3331 Castle Drive  
Janesville, WI 53546  
Tel: 608 755 1650  
Fax: 608 155 1651

December 27, 1999

**AMEREN/CIPS**

ARES Business Center  
P.O. Box 66149 MC 133  
St. Louis, MO 631666149

To Whom It May Concern:

As per the Public Utilities Act, Section 16-115 and the Illinois Commerce Commission rules in 83 Ill. Admin. Code Part 451, the rules for becoming an Alternative Retail Electric Supplier (ARES), TXU Energy Services, 1301 Fannin Street, Houston, TX 77002, is hereby in notifying you of its intent to deliver power and energy to customers within your service area. We will make an official application as per **AMEREN/CIPS** rules once Certification has been granted.

Sincerely yours,

A handwritten signature in dark ink, appearing to read "Jim Poad". The signature is fluid and cursive, with the first and last names being clearly legible.

Jim Poad  
Area Marketing Director  
TXU Energy Services



TXU Energy Services  
3331 Castle Drive  
Janesville, WI 53546  
Tel: 608 755 1650  
Fax: 608 755 1651

December 27, 1999

Central Illinois Light Company  
C/O Ken Eichorn  
Rates and Regulatory Affairs  
300 Liberty Street  
Peoria, IL 61602

To Whom It May Concern:

As per the Public Utilities Act, Section 16-115 and the Illinois Commerce Commission rules in 83 Ill. Admin. Code Part 451, the rules for becoming an Alternative Retail Electric Supplier (ARES), TXU Energy Services, 1301 Fannin Street, Houston, TX 77002, is hereby in notifying you of its intent to deliver power and energy to customers within your service area. We will make an official application as per Central Illinois Light Company rules once Certification has been granted.

Sincerely yours,

A handwritten signature in black ink that reads "Jim Poad". The signature is written in a cursive, flowing style.

Jim Poad  
Area Marketing Director  
TXU Energy Services



TXU Energy Services  
1450 Boyson Road  
Building A  
Hawthorne, IA 52233  
Tel: 319 294 0166  
Fax: 319 294 0172  
Toll Free Tel: 888 217 0156  
Toll Free Fax: 877 607 5788

September 30, 1999

Commonwealth Edison  
General Counsel  
10 S. Dearborn  
P.O. Box 767  
Chicago, IL 60690

To Whom It May Concern:

As per the Public Utilities Act, Section 16-115 and the Illinois Commerce Commission rules in 83 Ill. Admin. Code Part 451, the rules for becoming an Alternative Retail Electric Supplier (ARES), TXU Energy Services, 1301 Fannin Street, Houston, TX 77002, is hereby in notifying you of its intent to deliver power and energy to customers within your service area. We will make an official application as per Unicorn Energy Services rules once Certification has been granted.

Sincerely yours,

A handwritten signature in black ink, appearing to read "Jim Poad", written over a horizontal line.

Jim Poad  
Area Marketing Director  
TXU Energy Services



TXU Energy Services  
3331 Castle Drive  
Janesville, WI 53546  
Tel: 608 755 1650  
Fax: 608 75: 165 1

December 28, 1999

Illinois Power Company  
C/O RES Business Center, F-50  
500 S. 27<sup>th</sup> Street  
Decatur, IL 62521

To Whom It May Concern:

As per the Public Utilities Act, Section 16-115 and the Illinois Commerce Commission rules in 83 Ill. Admin. Code Part 451, the rules for becoming an Alternative Retail **Electric** Supplier (ARES), TXU Energy Services, 1301 Fannin Street, Houston, TX 77002, is hereby in notifying you of its intent to deliver power and energy to customers within your **service** area. We will make an official application as per Illinois Power Company rules once Certification has been granted.

Sincerely yours.

A handwritten signature in black ink that reads "Jim Poad". The signature is written in a cursive, flowing style.

Jim Poad  
Area Marketing Director  
TXU Energy Services



TXU Energy Services  
3331 Castle Drive  
Janesville, WI 53546  
Tel: 608 755 1650  
Fax: 608 755 1651

December 28, 1999

MidAmerican Energy Company  
Sr. Transmission Analyst  
One RiverCenter Place  
P.O. Box 4350  
Davenport, IA 52801-4350

To Whom It May Concern:

As per the Public Utilities Act, Section 16-115 and the Illinois Commerce Commission rules in 83 Ill. Admin. Code Part 451, the rules for becoming an Alternative Retail Electric Supplier (ARES), TXU Energy Services, 1301 Fannin Street, Houston, TX 77002, is hereby in notifying you of its intent to deliver power and energy to customers within your service area. We will make an official application as per MidAmerican Energy Company rules once Certification has been granted.

Sincerely yours,

A handwritten signature in dark ink, appearing to read "Jim Poad", written in a cursive style.

Jim Poad  
Area Marketing Director  
TXU Energy Services

**STATE OF ILLINOIS**  
**ILLINOIS COMMERCE COMMISSION**  
**APPLICATION**  
**Attachment 3**

**Applicant Certification**

### Affidavit of Jeff Shorter

I, Jeff Shorter, Vice President of Trading, of TXU Energy Trading Company, d/b/a TXU Energy Services ("TXUET"), being first duly sworn, do hereby attest that TXUET:

- a) will comply with all applicable Federal, State, regional and industry rules, practices, policies, procedures and tariffs for the use, operation, maintenance, safety, integrity, and reliability of the interconnected electric transmission system including the Open Access Same-time Information System (OASIS) mandated by 18 CFR 37 and the rules and operating guidelines and procedures of the regional or national electric reliability council(s) or organization(s) and their successors for any portion of the state in which the applicant is certified to provide retail electric service);
- b) will provide service only to retail customers that are eligible to take delivery services;
- c) will comply with informational and reporting requirements that the Commission may by rule establish;
- d) will comply with informational and reporting requirements that the Commission may establish regarding the provision of information required by Section 16-112 of the Act;
- e) complies with all other applicable laws and regulations and Commission rules and orders;
- f) complies with all terms and conditions required by Sections 115A(a), (b), and (f), 16-119, 16-123, 16-125(b) and (c), 16-127, and 16-128(a) of the Act, to the extent those Sections have application to the services being offered by the alternative retail electric supplier.

No other representation, either implicit or explicit, is hereby made by this affidavit,



Jeff Shorter  
Vice President of Trading

gld

SUBSCRIBED AND SWORN to before  
me this, 1999 day of November

Patricia Fritts

Notary Public



**STATE OF ILLINOIS**  
**ILLINOIS COMMERCE COMMISSION**  
**APPLICATION**  
**Attachment 4**

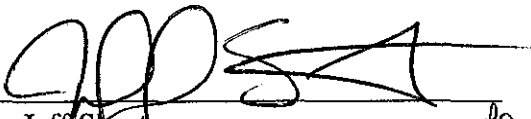
**Applicant Certification Statement**

### Affidavit of Jeff Shorter

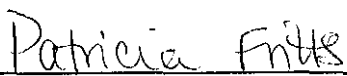
I, Jeff Shorter, Vice President of Trading, of TXU Energy Trading Company, d/b/a TXU Energy Services ("TXU Energy Trading Company"), being first duly sworn, do hereby attest that:

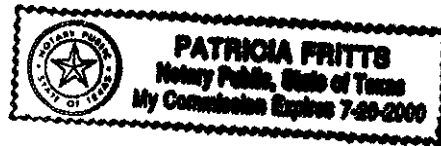
'TXU Energy Trading Company is an affiliate of TXU Electric Company which is a Public Utility as defined Section 11.004(1) of the Texas Utilities Code and whose Tariff for Transmission Service To, From And Over Certain HVDC Interconnections has been found by the Federal energy Regulatory Commission ("FERC") to be generally consistent with the FERC's open-access non-discriminatory transmission policies. The FERC has held that the Texas Public Utility Commission's open-access rules embody the same principles as those that form the basis of FERC Order Nos. 888 and 888-A.'

No other representation, either implicit or explicit, is hereby made by this affidavit.

  
\_\_\_\_\_  
Jeff Shorter  
Vice President of Trading

SUBSCRIBED AND SWORN to before  
me this 22nd day of November, 1999.

  
\_\_\_\_\_  
Notary Public



**ILLINOIS COMMERCE COMMISSION**

**APPLICATION**

**Attachment 5**

**Contract Bond**

ST. PAUL  
FIRE and MARINE  
*Insurance Company*  
SAINT PAUL, MINNESOTA  
A CAPITAL STOCK COMPANY

CONTRACT BOND

BOND NO , JZ8395

**Know all men by these presents** that we,

TXU ENERGY TRADING COMPANY D/B/A TXU ENERGY SERVICES

as Principal,

and the ST. PAUL FIRE AND MARINE INSURANCE COMPANY, a corporation organized under the laws of  
the State of Minnesota and duly authorized to transact business in the State of Illinois

as Surety, are held and firmly bound unto PEOPLE OF THE STATE OF ILLINOIS

as Obligee,

in the sum of THREE HUNDRED THOUSAND AND NO/100 ----- (\$300,000.00) ----  
DOLLARS, for the payment whereof well and truly to be made, the Principal and the Surety bind themselves,  
their heirs, executors, administrators, successors and assigns, jointly and severally, firmly by these presents.

SIGNED, sealed, and dated this 12th day of November 1999 .


WHEREAS the Principal and the Obligee have entered into a written contract, a copy of which is or may be  
attached hereto, dated the 1st day of November 1999 , for

the full and faithful performance of all duties of TXU Energy Trading  
Company d/b/a TXU Energy Services as an alternative retail electric  
supplier for the term November 1, 1999 to November 1, 2000.

NOW, THEREFORE, the condition of the foregoing obligation is such that if the Principal shall indemnify  
the Obligee for all loss that the Obligee may sustain by reason of the Principal's failure to comply with any of the  
terms of said contract, then this obligation shall be void; otherwise it shall remain in force.

Any suit under this bond must be instituted before the expiration of two (2) years from the date on which  
final payment falls due.

TXU ENERGY TRADING COMPANY D/B/A  
TXU ENERGY SERVICES

By  John F. Stephens Asst. Secretary Principal

ST. PAUL FIRE AND MARINE INSURANCE COMPANY

By  Janice G. Correy, Attorney-in-Fact

Seaboard Surety Company  
 St. Paul Fire and Marine Insurance Company  
 St. Paul Guardian Insurance Company  
 St. Paul Mercury Insurance Company

United States Fidelity and Guaranty Company  
 Fidelity and Guaranty Insurance Company  
 Fidelity and Guaranty Insurance Underwriters, Inc.

Power of Attorney No. 20168

Certificate No.

88332

**KNOW ALL MEN BY THESE PRESENTS:** That Seaboard Surety Company is a corporation duly organized under the laws of the State of New York, and that St. Paul Fire and Marine Insurance Company, St. Paul Guardian Insurance Company and St. Paul Mercury Insurance Company are corporations duly organized under the laws of the State of Minnesota, and that United States Fidelity and Guaranty Company is a corporation duly organized under the laws of the State of Maryland, and that Fidelity and Guaranty Insurance Company is a corporation duly organized under the laws of the State of Iowa, and that Fidelity and Guaranty Insurance Underwriters, Inc. is a corporation duly organized under the laws of the State of Wisconsin (*herein collectively called the "Companies"*), and that the Companies do hereby make, constitute and appoint

Janice G. Correy, Joe Bruce, Kathleen Day, Kelly A. Knisel, Sheila Young and Carla J. Ball

of the City of Dallas, State Texas, their true and lawful Attorney(s)-in-Fact each in their separate capacity if more than one is named above, to sign its name as surety to, and to execute, seal and acknowledge any and all bonds, undertakings contracts and other written instruments in the nature thereof on behalf of the Companies in their business of guaranteeing the fidelity of persons, guaranteeing the performance of contracts and executing or guaranteeing bonds and undertakings required or permitted in any actions or proceedings allowed by law.

IN WITNESS WHEREOF, the Companies have caused this instrument to be signed this 23rd day of March, 1999.

Seaboard Surety Company  
 St. Paul Fire and Marine Insurance Company  
 St. Paul Guardian Insurance Company  
 St. Paul Mercury Insurance Company

United States Fidelity and Guaranty Company  
 Fidelity and Guaranty Insurance Company  
 Fidelity and Guaranty Insurance Underwriters, Inc.



State of Maryland  
 City of Baltimore

*Michael B. Keegan*  
 MICHAEL B. KEEGAN, Vice Presiden

*Michael R. McKibben*  
 MICHAEL R. MCKIBBEN, Assistant Secretary

On this 23rd day of March, 1999, before me, the undersigned officer, personally appeared Michael B. Keegan and Michael R. McKibben, who acknowledged themselves to be the Vice President and Assistant Secretary, respectively, of Seaboard Surety Company, St. Paul Fire and Marine Insurance Company, St. Paul Guardian Insurance Company, St. Paul Mercury Insurance Company, United States Fidelity and Guaranty Company, Fidelity and Guaranty Insurance Company, and Fidelity and Guaranty Insurance Underwriters, Inc. and that they, as such, being authorized so to do, executed the foregoing instrument for the purposes therein contained by signing the names of the corporations by themselves as duly authorized officers.

In Witness Whereof, I hereunto set my hand and official seal.

My Commission expires the 13th day of July, 2002.



*Rebecca Easley-Onokala*  
 REBECCA EASLEY-ONOKALA, Notary Public

This Power of Attorney is granted under and by the authority of the following resolutions adopted by the Boards of Directors of Seaboard Surety Company, St. Paul Fire and Marine Insurance Company, St. Paul Guardian Insurance Company, St. Paul Mercury Insurance Company, United States Fidelity and Guaranty Company, Fidelity and Guaranty Insurance Company, and Fidelity and Guaranty Insurance Underwriters, Inc. on September 2, 1998, which resolutions are now in full force and effect, reading as follows:

**RESOLVED**, that in connection with the fidelity and surety insurance business of the Company, all bonds, undertakings, contracts and other instruments relating to said business may be signed, executed, and acknowledged by persons or entities appointed as Attorney(s)-in-Fact pursuant to a Power of Attorney issued in accordance with these resolutions. Said Power(s) of Attorney for and on behalf of the Company may and shall be executed in the name and on behalf of the Company, either by the Chairman, or the President, or any Vice President, or an Assistant Vice President, jointly with the Secretary or an Assistant Secretary, under their respective designations. The signature of such officers may be engraved, printed or lithographed. The signature of each of the foregoing officers and the seal of the Company may be affixed by facsimile to any Power of Attorney or to any certificate relating thereto appointing Attorney(s)-in-Fact for purposes only of executing and attesting bonds and undertakings and other writings obligatory in the nature thereof, and subject to any limitations set forth therein, any such Power of Attorney or certificate bearing such facsimile signature or facsimile seal shall be valid and binding upon the Company, and any such power so executed and certified by such facsimile signature and facsimile seal shall be valid and binding upon the Company with respect to any bond or undertaking to which it is validly attached; and

**RESOLVED FURTHER**, that Attorney(s)-in-Fact shall have the power and authority, and, in any case, subject to the terms and limitations of the Power of Attorney issued them, to execute and deliver on behalf of the Company and to attach the seal of the Company to any and all bonds and undertakings, and other writings obligatory in the nature thereof, and any such instrument executed by such Attorney(s)-in-Fact shall be as binding upon the Company as if signed by an Executive Officer and sealed and attested to by the Secretary of the Company.

I, Michael R. McKibben, Assistant Secretary of Seaboard Surety Company, St. Paul Fire and Marine Insurance Company, St. Paul Guardian Insurance Company, St. Paul Mercury Insurance Company, United States Fidelity and Guaranty Company, Fidelity and Guaranty Insurance Company, and Fidelity and Guaranty Insurance Underwriters, Inc. do hereby certify that the above and foregoing is a true and correct copy of the Power of Attorney executed by said Companies, which is in full force and effect and has not been revoked.

IN TESTIMONY WHEREOF, I hereunto set my hand this 12th day of November, 1999.



*Michael R. McKibben*  
 Michael R. McKibben, Assistant Secretary

To verify the authenticity of this Power of Attorney, call 1-800-421-3880 and ask for the Power of Attorney clerk. Please refer to the Power of Attorney number, the above-named individuals and the details of the bond to which the power is attached.